

06-11-01

06/08/01

1c962 U.S. PTO

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 86821

First Inventor Eaton

Title Drag Reducing Agent Slurries  
Having Alfol Alcohols . . .

Express Mail Label No. EJ819144635US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]

5. Oath or Declaration [Total Pages 2]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement ☒ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent. **Check (\$582)**
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_/\_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Anthony F. Matheny

Registration No. (Attorney/Agent)

43,778

Signature



Date

6/8/01

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

(\$) 582

**Complete if Known**

Application Number

Filing Date

**Herewith**

First Named Inventor

**Eaton**

Examiner Name

Group Art Unit

Attorney Docket No.

**86821****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number**01-1945**Deposit  
Account  
Name**Andrews & Kurth**

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity<br>Fee Code | Large Entity<br>Fee (\$) | Small Entity<br>Fee Code | Small Entity<br>Fee (\$) | Fee Description        | Fee Paid |
|--------------------------|--------------------------|--------------------------|--------------------------|------------------------|----------|
| 101                      | 710                      | 201                      | 355                      | Utility filing fee     | 355      |
| 106                      | 320                      | 206                      | 160                      | Design filing fee      |          |
| 107                      | 490                      | 207                      | 245                      | Plant filing fee       |          |
| 108                      | 710                      | 208                      | 355                      | Reissue filing fee     |          |
| 114                      | 150                      | 214                      | 75                       | Provisional filing fee |          |

**SUBTOTAL (1) (\$)** 355**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 23                 | -20** = 3    | 99             | 27       |
| Independent Claims | 7            | -3** = 4       | 40       |
| Multiple Dependent |              |                |          |

| Large Entity<br>Fee Code | Large Entity<br>Fee (\$) | Small Entity<br>Fee Code | Small Entity<br>Fee (\$) | Fee Description                                            |
|--------------------------|--------------------------|--------------------------|--------------------------|------------------------------------------------------------|
| 103                      | 18                       | 203                      | 9                        | Claims in excess of 20                                     |
| 102                      | 80                       | 202                      | 40                       | Independent claims in excess of 3                          |
| 104                      | 270                      | 204                      | 135                      | Multiple dependent claim, if not paid                      |
| 109                      | 80                       | 209                      | 40                       | ** Reissue independent claims over original patent         |
| 110                      | 18                       | 210                      | 9                        | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$)** 187

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity<br>Fee Code | Large Entity<br>Fee (\$) | Small Entity<br>Fee Code | Small Entity<br>Fee (\$) | Fee Description                                                            | Fee Paid |
|--------------------------|--------------------------|--------------------------|--------------------------|----------------------------------------------------------------------------|----------|
| 105                      | 130                      | 205                      | 65                       | Surcharge - late filing fee or oath                                        |          |
| 127                      | 50                       | 227                      | 25                       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                      | 130                      | 139                      | 130                      | Non-English specification                                                  |          |
| 147                      | 2,520                    | 147                      | 2,520                    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                      | 920*                     | 112                      | 920*                     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                      | 1,840*                   | 113                      | 1,840*                   | Requesting publication of SIR after Examiner action                        |          |
| 115                      | 110                      | 215                      | 55                       | Extension for reply within first month                                     |          |
| 116                      | 390                      | 216                      | 195                      | Extension for reply within second month                                    |          |
| 117                      | 890                      | 217                      | 445                      | Extension for reply within third month                                     |          |
| 118                      | 1,390                    | 218                      | 695                      | Extension for reply within fourth month                                    |          |
| 128                      | 1,890                    | 228                      | 945                      | Extension for reply within fifth month                                     |          |
| 119                      | 310                      | 219                      | 155                      | Notice of Appeal                                                           |          |
| 120                      | 310                      | 220                      | 155                      | Filing a brief in support of an appeal                                     |          |
| 121                      | 270                      | 221                      | 135                      | Request for oral hearing                                                   |          |
| 138                      | 1,510                    | 138                      | 1,510                    | Petition to institute a public use proceeding                              |          |
| 140                      | 110                      | 240                      | 55                       | Petition to revive - unavoidable                                           |          |
| 141                      | 1,240                    | 241                      | 620                      | Petition to revive - unintentional                                         |          |
| 142                      | 1,240                    | 242                      | 620                      | Utility issue fee (or reissue)                                             |          |
| 143                      | 440                      | 243                      | 220                      | Design issue fee                                                           |          |
| 144                      | 600                      | 244                      | 300                      | Plant issue fee                                                            |          |
| 122                      | 130                      | 122                      | 130                      | Petitions to the Commissioner                                              |          |
| 123                      | 50                       | 123                      | 50                       | Processing fee under 37 CFR 1.17(q)                                        |          |
| 126                      | 180                      | 126                      | 180                      | Submission of Information Disclosure Stmt                                  |          |
| 581                      | 40                       | 581                      | 40                       | Recording each patent assignment per property (times number of properties) | 40       |
| 146                      | 710                      | 246                      | 355                      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                      | 710                      | 249                      | 355                      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                      | 710                      | 279                      | 355                      | Request for Continued Examination (RCE)                                    |          |
| 169                      | 900                      | 169                      | 900                      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 40**SUBMITTED BY**

| Name (Print/Type)                                                                   | Registration No.<br>(Attorney/Agent) | Telephone           |
|-------------------------------------------------------------------------------------|--------------------------------------|---------------------|
| <b>Anthony F. Matheny</b>                                                           | <b>43,778</b>                        | <b>713-220-4168</b> |
| Signature                                                                           | Date                                 |                     |
|  | <b>6/8/01</b>                        |                     |

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